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**MAIL STOP PCT**

Docket No. 293946US40PCT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shoya YODA, et al.

SERIAL NO: 10/588,514

GAU:

FILED: August 4, 2006

EXAMINER:

FOR: WATER-SOLUBLE RESIN, HAIR COSMETIC MATERIAL CONTAINING THE SAME, AND SILICONE OIL ADSORPTION ASSISTANT

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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U.S. PCT Application Serial No: 10/588,514  
Filed: August 4, 2006  
Shoya YODA, et al.  
Docket No. 293946 US

STATEMENT OF RELEVANCY

- 1) References            have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AO-AP are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AA-AD & AQ-AT are additional prior art known to Applicant. A copy of these references is being submitted herewith.



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 293946US40PCT		SERIAL NO. 10/588,514	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Shoya YODA, et al.		FILING DATE August 4, 2006	
				GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 123 933	09/06/2000	HAYAMA et al.			
	AB	6 375 932	04/23/2002	HIWATASHI et al.			
	AC	2004 0223933	11/11/2004	HIWATASHI et al.			
	AD	2005 0249692	11/10/2005	HIWATASHI et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2003 212733	07/30/2003	JP (equivalent of US 2003 0170197)			NO
	AP	2003 34704	02/07/2003	JP (with English abstract and computer generated translation)			NO
	AQ	2003 146852	05/21/2003	JP (with English abstract and computer generated translation)			NO
	AR	2003 277794	10/02/2003	JP (with English abstract and computer generated translation)			NO
	AS	2000 302649	10/31/2000	JP (with English abstract and computer generated translation)			NO
	AT	2005 75861	03/24/2005	JP (with English abstract and computer generated translation)			NO
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner				/Helen Pezzuto/			
				Date Considered 08/17/2009			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							